

Ethics & Data Management **quality and transparency**
Each package is embedded within institutional research ethics frameworks and committees. If no such bodies do not exist, the research ethics framework that applies to the co-ordinator, School of Economics, will apply. All partners are expected to conduct their research keeping with the principles of the European Code of Conduct for Research

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2. The primary responsibility for the conduct of the research lies with the individual leader of a research package (sub-package). Each package is required to identify risks to researchers and research participants and identify ways of mitigating these risks. The co-ordinator will ensure that TransCrisis-related research follows ethical guidelines. A list of ethical guidelines will be provided on the project website.

4. The biannual consortium meetings will devote time for the discussion of research ethics issues that may not have been foreseen at the outset of the project.

5. Unethical research behaviour will not be tolerated and will be dealt with at consortium meetings. Depending on severity, failure to comply may lead to the removal of the research package from the consortium, after consultation with the funder. The process will be guided by the principles of integrity, fairness, uniformity, and transparency. All members of the TransCrisis consortium are committed to conducting research with integrity. Investigations. They are also committed to consent to the co-ordinator to take appropriate actions (subject to appropriate appeal procedures).

6. Research staff will seek opportunities to train in research ethics. The TransCrisis consortium. Such opportunities will be encouraged. Training opportunities.

The following principles guide the TransCrisis project:

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7. All research is designed, undertaken and reviewed as part of the consortium to ensure integrity, quality and transparency.

¹ http://www.esf.org/fileadmin/Public_documents/Publications/Code_Conduct_ResearchIntegrity.pdf

² The provisions follow the UK ESRC Framework for Research Ethics 2015, http://www.esrc.ac.uk/_images/framework-for-research-ethics_tcm8-33470.pdf

8. Researchers and research participants will be fully informed about the purpose, method and intended usage of the research, what their contribution to the research is and what risks, if any, may arise from participation.

9. Confidentiality of information supplied by research participants and the anonymity of respondents of the

20. The research is not expected to expose researchers to any particular risks. However, leaders of individual work packages (sub-packages) and the overall consortium will continuously monitor potential emerging risks.

21. Research may establish incidental findings. The likelihood of such findings is low as TransCrisis does not directly cover areas where incidental findings have caused primary concern, namely medical ethics. Such unlikely incidents (unlikely given the nature of research) will not be used for the purposes of the research. Data will be anonymised where appropriate. The primary research across all TransCrisis research activities is to trace data and processes that are 'open', namely it is about investigating phenomena that are in the public domain. In the unlikely event of incidental findings, such a finding may also lead to issues regarding limits to confidentiality (i.e. evidence of severe risk to the participant or (planned/committed) criminal behaviour, corruption). In these cases, national legislation and professional guidelines will determine when confidentiality has to be breached. The participants will, where possible, be informed about the steps undertaken, without placing researchers at risk of harm.

22. Data for the individual project will be stored at host organisations. The data of relevance for the wider TransCrisis project will be stored on a secure internal project platform, managed by the coordinator. The primary responsibility for the security of data storage therefore lies with the leaders of the work packages (sub-packages). Therefore

- i) All participants are bound by their Asis th4.-41.4[(Tw 0.239 02(-2(ge)-13)-1(d)] c 0 Tw

individuals with regard to the processing of